SEC Form 4

FORM 4

UNITED STATES SECURITIES A	ND EXCHANGE COMMISSION
Machington	D.C. 20540

Washington, D.C. 20549

	Washington, D.C. 20549	OMB APPROVAL		
Section 16. Form 4 or Form 5	TATEMENT OF CHANGES IN BENEFICIAL OV	OMB Number: Estimated average bu	3235-0287 urden	
obligations may continue. See Instruction 1(b).	Filed pursuant to Section 16(a) of the Securities Exchange Act of or Section 30(h) of the Investment Company Act of 1940	hours per response:	0.5	
Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.				
1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol <u>Symbotic Inc.</u> [SYM]	5. Relationship of Re (Check all applicable)	eporting Person(s) to e)	Issuer
Dunn Michael David	<u></u> [~]	10%	Owner	
v		Officer (giv	e title Othe	er (specify

(Last) C/O SYMBOTIC	(First) C INC., 200 RESH	(Middle) EARCH DRIVE	3. Date of Earliest Transaction (Month/Day/Year) 10/01/2024	V	below) See Re	b emarks	below)	
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indivi Line)	dual or Joint/Group	Filing (Che	eck Applicable	
WILMINGTON	MA	01887		7	Form filed by One Form filed by More			
(City)	(State)	(Zip)			Person		rioporting	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Class A Common Stock	10/01/2024		М		5,498	A	(1)	82,824	Ι	By Dunn Family Holding LLC ⁽²⁾		
Class A Common Stock	10/01/2024		м		7,666	A	(1)	90,490	Ι	By Dunn Family Holding LLC ⁽²⁾		
Class A Common Stock	10/02/2024		S ⁽³⁾		5,266	D	\$23.8112	85,224	Ι	By Dunn Family Holding LLC ⁽²⁾		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/Y	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	(4)	10/01/2024		М			5,498	(5)	(5)	Class A Common Stock	5,498	\$ <mark>0</mark>	27,492	D	
Restricted Stock Units	(4)	10/01/2024		М			7,666	(6)	(6)	Class A Common Stock	7,666	\$0	7,672	D	

Explanation of Responses:

1. Restricted stock units convert into Class A common stock on a one-for-one basis.

2. The Reporting Person may be considered the beneficial owner of securities held by Dunn Family Holding LLC, of which the Reporting Person is the Chief Manager. The Reporting Person disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein.

3. This transaction represents shares required to be sold by the Reporting Person to cover tax withholding obligations in connection with the vesting and settlement of restricted stock units. These sales were mandated by the Issuer's election under its equity incentive plans to require the satisfaction of a tax withholding obligation to be funded by a "sell to cover" transaction, and do not represent discretionary trades by the Reporting Person.

4. Each restricted stock unit represents a contingent right to receive one share of the Issuer's Class A common stock.

5. On August 17, 2022, the reporting person was granted 87,970 restricted stock units that vest as follows: 1/4 of the restricted stock units vest on January 1, 2023, and 1/16 of the restricted stock units vest quarterly thereafter, subject to the Reporting Person continued service with the Issuer on the applicable vesting dates.

6. On August 17, 2022, the reporting person was granted 92,000 restricted stock units that vest as follows: 1/3 of the restricted stock units vest on January 1, 2023, and 1/12 of the restricted stock units vest quarterly thereafter, subject to the Reporting Person continued service with the Issuer on the applicable vesting dates.

Remarks:

Reporting Person's title is Senior Vice President, Sales, Marketing & Product Strategy.



Attorney-in-Fact for Michael D. Dunn

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.